



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,147	02/13/2001	Rodger Erickson	05313.00002

CONFIRMATION NO. 1518

Banner & Witcoff, Ltd.  
1001 G Street, N.W.  
Washington, DC 20001-4597

**RECEIVED**

FORMALITIES LETTER



\*OC000000006087437\*

MAY 22 2001

Date Mailed: 05/17/2001

**BANNER & WITCOFF, LTD.****NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$180.
  - \$180 for 20 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 600.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/19/2001 SLUANG1 00000050 190733 09783147

01 FC:201 355.00 CH  
02 FC:203 180.00 CH  
03 FC:205 65.00 CH

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*A copy of this notice MUST be returned with the reply.*

AM  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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- 13

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on June 12, 2001.

Dated: June 12, 2001

*Thomas L. Evans*

Thomas L. Evans

Atty. Docket No.  
05313.00002

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Rodger D. Erickson

Examiner:

U.S. Pat. App. No.: 09/783,147

Group Art Unit: 2151

Filed: February 13, 2001

Title: DISTRIBUTED CACHE FOR STATE TRANSFER OPERATIONS

**TRANSMITTAL OF MISSING PARTS OF APPLICATION**

Box Missing Parts  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, dated May 17, 2001, transmitted herewith are the following:

1. A copy of Notice to File Missing Parts of Application, mailed May 17, 2001;
2. Assignment of the above-identified U.S. patent application to Aventail Corporation, together with a Recordation Form Cover Sheet for the Assignment;

3. Declaration and Power of Attorney; and
4. A Formal Drawing Transmittal with six sheets of formal drawings.

**FEE COMPUTATION**

Filing Fee	\$535.00
Surcharge	\$ 65.00
Assignment Recording Fee	\$ <u>40.00</u>

TOTAL: \$640.00

The Commissioner is hereby authorized to charge Deposit Account No. 19-0733 in the amount of \$640.00, in response to the Notice to File Missing Parts of Application. Please charge any additional fees, or credit any overpayment, associated with this submission to said Deposit Account.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: June 12, 2001

By: Thomas L. Evans  
Thomas L. Evans, Reg. No. 35,805  
1001 G Street, N.W., 11<sup>th</sup> Floor  
Washington, D.C. 20001-4597  
Telephone: (202) 508-9100  
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**CERTIFICATE OF MAILING**



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Dated: June 12, 2001

Thomas L. Evans  
Thomas L. Evans

Atty. Docket No.  
05313.00002

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Rodger D. Erickson

Examiner:

U.S. Pat. App. No.: 09/783,147

Group Art Unit: 2151

Filed: February 13, 2001

Title: DISTRIBUTED CACHE FOR STATE TRANSFER OPERATIONS

**FORMAL DRAWING TRANSMITTAL**

Box Missing Parts  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith are six sheets of formal drawings (FIGS. 1-5B) for the above-referenced patent application.

Applicants respectfully request the Draftsperson's approval of these formal  
drawings.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: June 12, 2001

By: Thomas L. Evans

Thomas L. Evans, Reg. No. 35,805  
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